



83000.1071/P3526/RSH

#5  
W. Meredith  
4/11/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of )  
)  
SHAH et al. )  
) Examiner: Unassigned  
Serial No: 09/693,355 )  
)  
Filed: October 20, 2000 )  
) Group Art Unit: 2131  
For: METHOD AND APPARATUS )  
FOR CREATING AND )  
DEPLOYING APPLICATIONS )  
FROM A SERVER )  
APPLICATION )

---

RECEIVED  
MAR 15 2001  
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Box IDS  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56 and § 1.98, Applicant hereby submits the following art in connection with the above-referenced patent application for "METHOD AND APPARATUS FOR CREATING AND DEPLOYING APPLICATIONS FROM A SERVER APPLICATION."

Publications:

1. Jim Waldo, Jini™ Architecture Overview, Sun Microsystems, Inc., 1998, {<http://www.java.sun.com/jini/whitepapers/>}.

2. Why Jini Now?, Sun Microsystems, Inc., August 1998,  
{<http://www.java.sun.com/jini/whitepapers/>}.
3. Jini™ FAQs, Sun Microsystems, Inc., Dec. 9, 1998,  
{<http://java.sun.com/jini/faqs>}.
4. What is Jini?, Jini™ White Paper, Sun Microsystems, Inc.,  
{<http://java.sun.com/products/jini>}.

Applicant requests that these references be included with the references cited in this patent application and that they be printed in any patent that may issue from this patent application.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is required. Copies of the references are enclosed pursuant to 37 C.F.R. § 1.98(a).

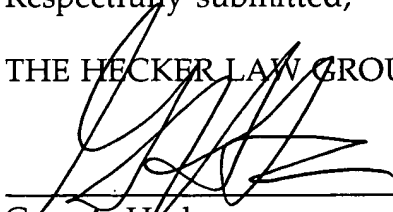
The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to The Hecker Law Group, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP

Date: Mar. 9, 2001


By:

  
\_\_\_\_\_  
Gary A. Hecker  
Reg. No. 31,023

1925 Century Park East  
Suite 2300  
Los Angeles, CA 90067  
(310) 286-0377

**CERTIFICATE OF MAILING**

*This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Box IDS, Commissioner for Patents, Washington, D.C. 20231 on Mar. 9, 2001.*

  
\_\_\_\_\_  
Signature Date 3-9-01



Gp 2/3/

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/693,355	
	Filing Date	10/20/00	
	First Named Inventor	SHAH et al.	
	Group Art Unit	2131	
	Examiner Name		
Total Number of Pages in This Submission	4	Attorney Docket Number	83000.1071/P3526/RSH

RECEIVED  
MAR 15 2001  
Technology Center 2100

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
Remarks		Additional Enclosure(s): 1) Form PTO/SB/08; 2) Copy of cited references; 3) Return postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Hecker, Esq. THE HECKER LAW GROUP
Signature	
Date	Mar. 9, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>Mar. 9, 2001</u>	
Typed or printed name	Nancy V. McElrath
Signature	Date <u>3-9-01</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.